PATENT

Case Docket No. ASMMC.033AUS

Date: December 20, 2002

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Kim et al. 10/007,304 Appl. No. Filed December 5, 2001 For COPPER INTERCONNECT

STRUCTURE HAVING STUFFED DIFFUSION

BARRIER

Examiner Steven Rao

Group Art Unit: 2812 CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence and all marked attachments are being facsimile transmitted via facsimile to Examiner Steven Rao, Fax No. (703) 746-3926 of the USPTO on the date shown below:

December 20, 2002

(Date)

#### TRANSMITTAL LETTER

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Preliminary Amendment in 5 pages.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Registration No. 41,394 Attorney of Record Customer No. 20,995 (415) 954-4114

W:\DOCS\ASA\ASA-13447.DOC

#### ASMMC.033AUS

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Kim et al.	) Group Art Unit 2812
Appl. No.	:	10/007,304	) CERTIFICATE OF FAX TRANSMISSION
Filed	:	December 5, 2001	) I hereby certify that this correspondence and all marked attachments are being transmitted via facsimile to Examiner
For	;	COPPER INTERCONNECT STRUCTURE HAVING	) Steven Rao, Fax No. (703) 746-3926 of the USPTO on the date shown below:
		STUFFED DIFFUSION	) <u>December 20, 2002</u>
		BARRIER	) Odes Lyd att
Examiner	:	Steven Rao	Adect S. Akhtar, Reg. No. 41,394

## PRELIMINARY AMENDMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

#### Dear Sir:

Prior to examination on the merits in the above-captioned patent application, please amend the application as indicated below:

### IN THE CLAIMS:

Please amend Claims 43-46, 50 and 51 as indicated below:

- 43. (Amended) The diffusion barrier of Claim 35, wherein the metal compound is an oxide of the reactive metal.
- 44. (Amended) The diffusion barrier of Claim 43, wherein the metal compound is selected from the group consisting of aluminum oxide and silicon oxide.
- 45. (Amended) The diffusion barrier of Claim 35, wherein the metal compound is a nitride of the reactive metal.
- 46. (Amended) The diffusion barrier of Claim 45, wherein the metal compound is selected from the group consisting of aluminum nitride and silicon nitride.

Lauis J. Knobbe\* Don W. Martens\* Gordon H. Dison\* James B. Bear Darrell L. Olson\* William B. Bunker William H. Nieman Arihur S. Rose James F. Lesniak Ned A. Israelson Drew S. Hamilton Jorry T. Sewell John B. Sganga, Jr. Edward A. Schlatter Gerard von Hollmann Јоверћ R. Rc Catherine J. Holland John M. Carson Karen Vogel Weil Andrew H. Simpson Jelfrey L. Van Hooseer Daniel E. Aliman Marguerile L. Gunn Stephen C. Janson Vito A Cabuso III William H. Shreve Lynda J. Zadra-Symest Sleven J. Nalaupaky Paul A. Slewart Josaph F. Jennings Craig S. Summers AnneMaric Kaiser Brenton R. Babcock Thomas F. Smegal, Jr. Michael H. Trenhalm Diane M. Reed Ronald J. Schoenbaum John R. King Frederick S. Berratta Nancy W. Venako John P. Giezenlanner Adeel S. Akhtar Ginger R. Dreger Thomas R. Aino David N. Weiss Daniel Hart, Ph.D. Douglas G. Muchihauser Lori Lee Yamato Michael K. Friedland Dale C. Hunt, Ph.D.

Paul D. Tripodi II Stacey R. Halpern Lee W. Hondgroon, Ph.D. Mark M. Abumerl Jon W. Gurka John W. Holcomb Joseph M. Reisman, Ph.D. Michael L. Fuller Deborah S. Shepherd Eric M. Nelson Mark R. Benedict, Ph.D. Paul N. Conever Robert J. Roby Sabing H. Les Karoline A. Delaney James J. Mullen III, Ph.D. Joseph S. Clanfrani William R. Zimmerman Glen L. Nuttall Eric S. Furman, Ph.D. Eric S. Furman, Ph.O.
Tirzah Abe Lowe
Sanjivpal S. Gill
Susan M. Natland
James W. Hill, M.D.
Rose M. Thiessen, Ph.O. Michael A. Guiliana Mark J. Kertz Rabinder N. Narula Bruce S. Itchkawitz, Ph.D. Peter M. Midgley Michael S. Okamolo John M. Grover Mallary K. De Merlier Irfan A. Laleef Sharon S. No. Mark J. Gallagher, Ph.D. David G. Jankowski, Ph.D. Brian C. Horne Payson J. LeMeilleur Sheila N. Swaroop Ben A. Katzenellenbogen Linda H. Llu Andrew N. Merickel, Ph.D. Oouglas T. Hudson David L. Hauser James F. Kerkenholl Scott L. Murray Andrew M. Douglas Marc T. Morley Sallma A. Merani, Ph.D. Sam K. Tehmassebl. Ph.D.

# Knobbe Martens Olson & Bear LLP

Intellectual Property Law

201 California Street Suite 1150 San Francisco CA 94111 Tel 415-954-4114 Fax 415-954-4111 kmob.com

# CONFIRMATION COPY WILL FOLLOW VIA:

- ☐ MAIL
- ☐ INTERNATIONAL AIRMAIL
- ☐ COURTER
- ☐ E-MAIL
- WILL NOT FOLLOW
- ☐ HAND DELIVERY
- WITH ENCLOSURES
- WITHOUT ENCLOSURES

Christy L. Green Jalai Sadr Jonathan A. Hyman Curtiss C. Dasler Richard A. DeCristofaro Donna O. Perdue, Ph.D. Joseph J. Mallon, Ph.D. Thomas P. Krzeminski Jeffrey A. Birchak Sean M. Murray Jason P. Florillo Elenare Niu Valerie L. Bracken J. David Everedit J. David Evereoff Johnfar F. Kerlet Jeremy P. Sanders Perry D. Oldham Jerry L. Heiner, Ph.D. Russell M. Jeide Abraham W. Chuang Ryan N. Farr Pui Tong Ho Erik T. Anderson John L. Palk Eric K. MORTON Jesse A, Rothwell Marc C. Baumgariner Ray B. Hom Danielle Klausner Kyle F. Schlueter Raphagl A. Gulièrrez Demian K. Jackson Naihan A. Engels Gregory A. Hermanson Raymond Chan Zi Y, Wong John N. Kandara Matthew S. Bellinger Martin Hellebrandt David K. Wiggins Darryl H. Steensms Lauren Keller Melisea J. Altman Ted M. Cann Carol M. Pitzel Lisa M. Sharrock Josue A. Villalta Ryan C. Fox Shella R. Gibson Andrew I. Kimmel

Miika Fukuwa

CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence and all marked attachments are being faceimile transmitted

via facsimite to Examiner Steven Rao, Fax No. (703)

746-3926 of the USPTO on the date shown below

December 20, 2002

Adeel S. Akhtar, Reg. No. 41,394

Of Counsel Jerry R. Seiler Paul C. Steinnardt

Japanese Patent Atly Katsuhiro Aral Tomohlaa Sugiyama

> Korean Patent Any Mincheol Kim Houngsop Choi

Scientists & Engineers (Non-Lawyers)

Reimond J. Salenieks\*
Khurrem Rahman, Ph.D.
Tommy Y. Nageta
Che S. Chorcskin, Ph.D.\*
James W. Aualey\*\*
Jennifer Hayes\*\*
Kirk E. Pastorian, Ph.D.\*\*
Charlos T. Ridgely
Bonny Yeung, Ph.D.
Connie C. Tong, Ph.D.\*\*
Suyanne G. Jepson, Ph.D.
Megan M. McCoy\*\*
Nira M. Brand
Catherine M. Sanders\*
Jeffrey A. Hopkins
Tiffany C. Miller\*
James W. Chang, Ph.D.
Marina L. Gordey, Ph.D.
Marina L. Gordey, Ph.D.
W. Frank Dauerer
Lang J. McHarrdy\*\*
Karen J. Lenker
Chis Westborg, Ph.D.
Eric B. Ives, Ph.D.\*\*
David C. Weber\*\*

\*A Professional Corporation
† Algo Barrister At Law (2-g & wees)
\*\*\* U.S. Patent Agent
†† Algo Solicitor (2-g & wees)

Wor

# Facsimile Transmittal Sheet Confidentiality Notice:

The documents accompanying this facsimile transmission contain confidential information which may be legally privileged. The information is intended only for the use of the recipient named below. If you have received this facsimile in error, please immediately notify us by telephone to arrange for return of the original documents to us; and any disclosure, copying, distribution or the taking of any action in reliance on the contents of this faxed information is strictly prohibited.

To:

Richard E. Campbell

Examiner Steven Rao

OUR REFERENCE NO.: ASMMC.033AUS

FIRM:

U.S. Patent and Trademark Office

FACSIMILE TELEPHONE No.: (703) 746-3926

FROM: Adeel S. Akhtar, Reg. No. 41,394

SERIAL NO .:

10/007,304

FILING DATE:

December 5, 2001

ART UNIT:

2812

TOTAL NUMBER OF PAGES: 7 (INCLUDING COVER SHEET)

OPERATOR: Jonathan Pan

DATE:

December 20, 2002

TIME:

IF YOU DID NOT RECEIVE ALL OF THE PAGES, PLEASE CALL BACK IMMEDIATELY.

Facsimile Operator Phone Number:

(415) 954-4114

Direct Line to Machine:

(415) 954-4111

MESSAGE:

PLEASE SEE ATTACHED

2040 Main Street 14º Floor Invine, CA 92614 Tel 949-760-0404 Foy 040-760-0509 550 West C Street Suite 1200 San Diego CA 92101 Tel 619-235-8550 Far 619-235-0176

1900 Avenue of the Stars Suite 1425 Los Argutes CA 90067 Tel 310-551-3450 Faz 310-551-3458 3403 Tenth Street Sulte 700 Riverside CA 92501 Tel 909-781-9231 Fax 909-781-4507 1114 Marsh Street San Luis Obispo CA 93401 Tal 805-547-5380 Fan 805-547-5590

Received from < 415 954 4111 > at 12/20/02 4:36:08 PM [Eastern Standard Time]